

THE Z-TRANSFORM OF THE LINEAR CAR-FOLLOWING MODEL

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Abstract - The Z-transform is used to analyze the differential equation for the linear car-following model. The car-following model has been developed to describe the dynamics of a platoon of vehicles initially travelling at uniform speed and spacing when the lead vehicle introduces a perturbation by decelerating or accelerating. The shifting theorem and the convolution theorem for Z-transform are used to model fluctuations in velocities and spacing of following vehicles as a function of deceleration or acceleration of the lead car when initial spacing and velocities are known. Fourier transform, the Laplace transform and differential operator methods are also discussed. The car-following model with delay reaction time is treated.

Key Words- linear car-following; vehicle platoons; vehicle spacing; z-transform; shifting theorem; convolution theorem.

I. INTRODUCTION

The car-following model has been developed to describe the dynamics of a platoon of vehicles initially travelling at uniform speed and spacing when the lead vehicle introduces a perturbation by braking or accelerating. The linear car-following model was developed by Chandler, et al.[1]and Herman et al.[5]. Assume that $x_n(t)$ and $v_n(t)$ are the position and velocity functions of the n^{th} car at the time t . $x_0(t)$ and $v_0(t)$ are the position and velocity functions of the lead vehicle. Chandler, Herman and Montroll [1] assume that the acceleration of the n^{th} car with time delay T is proportional to the relative velocities of the n^{th} car and $n-1^{\text{th}}$ car at time t .

$$x_n''(t+T) = -\lambda [x_n'(t) - x_{n-1}'(t)] \quad (1)$$

The constant is called the sensitivity constant. If the delay time T is small, as should be the case in driving, we obtain the following second order differential equation:

$$x_n''(t) = -\lambda [x_n'(t) - x_{n-1}'(t)] \quad (2)$$

From eq. (2), the corresponding equation for velocities is:

$$v_n'(t) = -\lambda [v_n(t) - v_{n-1}(t)] \quad (3)$$

In the next section, we use the Z-transform with given initial velocities to analyze eq. (3). In section 3, we use differential operators to obtain an expression for velocity of the n^{th} car in the platoon. In section 4 the Laplace transform is used to derive the same results as in sections 2 and 3. In section 5, we discuss the linear car-following model with delay. Finally, we make concluding remarks in section 6. For a more detailed discussion of the car-following model see chapter 3 of Haberman[3].

II. THE Z-TRANSFORM

The Z-transform for a sequence $s(n)$ is given by:

$$X(s(n)) = \sum_{n=-\infty}^{\infty} s(n)Z^{-n} \quad (4)$$

A discussion of Z-transform and its properties can be found in any signal processing textbook, such as Haddad and Parsons [4] or Proakis and Manolakis[6]. We use the shifting property of Z-transform for delay signal $s(n-k)$ given by:

$$X(s(n-k)) = Z^{-k}X(s(n)) \quad (5)$$

The convolution of the two signals $s_1(n)$ and $s_2(n)$ is given by:

$$s_1(n) * s_2(n) = \sum_{k=-\infty}^{\infty} s_1(n-k)s_2(k) \quad (6)$$

An important property of the Z-transform is the convolution property i.e., the Z-Transform of the convolution is equal to the product of individual transforms, namely,

$$X(s_1(n) * s_2(n)) = X(s_1(n)) \times X(s_2(n)) \quad (7)$$

The discrete unit step function is given by:

$$u(n) = \begin{cases} 1 & \text{if } n \geq 0 \\ 0 & \text{if } n \leq 0 \end{cases}$$

If a signal $s(n)$ is defined for $n \geq 0$, we can extend the definition for all integers by multiplying $s(n)$ by $u(n)$. We use the shifting property and take the Z-transform of both sides of eq. (3).

Let $X(z,t)$ denote the Z-transform of $v_n(t)$. We obtain:

$$\frac{dX(Z,t)}{dt} = -\lambda[X(Z,t) - Z^{-1}X(Z,t)] \quad (8)$$

We obtain a separable differential equation:

$$\frac{dX(Z,t)}{X(Z,t)} = -\lambda(1 - Z^{-1}) dt$$

By integrating both sides, we obtain:

$$\ln X(Z,t) = -\lambda(1 - Z^{-1})t + C$$

Exponentiating both sides and using the initial condition yields,

$$\begin{aligned} X(Z,t) &= e^{-\lambda(1-Z^{-1})t} \cdot X(Z,0) \\ &= e^{-\lambda t} (e^{\lambda Z^{-1}t}) \cdot X(Z,0) \end{aligned} \quad (9)$$

The Maclaurian series for exponential yields,

$$X(Z,t) = e^{-\lambda t} \left[\sum_{n=0}^{\infty} \frac{(\lambda t)^n Z^{-n}}{n!} \right] [X(Z,0)] \quad (10)$$

Note that $X(Z,0)$ is the Z-transform of initial velocities, namely,

$$X(Z,0) = \sum_0^{\infty} v_n(0) Z^{-n}$$

Using convolution theorem to take inverse of eq. (10) we obtain:

$$v_n(t) = e^{-\lambda t} \frac{(\lambda t)^n}{n!} * v_n(0) \quad (11)$$

Now use the definition of convolution to obtain $v_n(t)$, namely,

$$\begin{aligned} v_n(t) &= e^{-\lambda t} \left[v_n(0) + \frac{(\lambda t)^1}{1!} \cdot v_{n-1}(0) + \frac{(\lambda t)^2}{2!} \cdot v_{n-2}(0) + \dots + \frac{(\lambda t)^n}{n!} v_0(0) \right] \\ &= e^{-\lambda t} \sum_{k=0}^n \frac{(\lambda t)^k}{k!} v_{n-k}(0) \end{aligned} \quad (12)$$

Eq. (12) is consistent with the literature [2]. It is well known that the Fourier transform is obtained by evaluating the Z-transform evaluated on a unit circle. Thus, the above results can be analyzed using the Fourier transform.

III. DIFFERENTIAL OPERATOR

Consider equation (3). Assume that the leading vehicle has constant velocity v_0 . Let $V_n(t)$ denote relative velocity of the n th car with respect to the leading vehicle. That is, $V_n(t) = v_n(t) - v_0(t)$, Eq. (3) reduces to:

$$\frac{dV_n}{dt} = -\lambda(V_n(t) - V_{n-1}(t)) \quad (13)$$

Now use differential operator D to obtain:

$$(D + \lambda I)V_n = \lambda V_{n-1}(t) \quad (14)$$

Repeated application of eq. (14) implies that:

$$(D + \lambda I)^n V_n = \lambda^n V_0 = 0 \quad (15)$$

The solution to the differential eq. (15) is obtained by:

$$V_n(t) = e^{-\lambda t} \sum_{k=0}^{n-1} C_k t^k \quad (16)$$

Use initial conditions for velocities to obtain:

$$v_n(t) = e^{-\lambda t} \sum_{k=0}^{n-1} \frac{(\lambda t)^k}{k!} v_{n-k}(0) \quad (17)$$

Using discrete convolution, eq. (17) reduces to:

$$v_n(t) = e^{-\lambda t} \left[\frac{(\lambda t)^k}{k!} * v_{n-k}(0) \right] \quad (18)$$

We can also use induction to prove eq. (17), as follows:

$$\text{For } n=1, v_1(t) = e^{-\lambda t} v_1(0) \quad (19)$$

But eq. (19) is the solution to the differential eq. (20), namely,

$$\frac{dv_1}{dt} + \lambda v_1 = v_0 = 0 \quad (20)$$

Now we assume eq. (17) for induction hypothesis and show the formula for v_{n+1} . Note that:

$$\frac{dv_{n+1}}{dt} + \lambda v_{n+1} = \lambda v_n = \lambda e^{-\lambda t} \sum_{k=0}^{n-1} \frac{(\lambda t)^k}{k!} v_{n-k}(0) \quad (21)$$

Multiply both sides of eq. (21) by integrating factor $e^{\lambda t}$ to obtain:

$$e^{\lambda t} \frac{dv_{n+1}}{dt} + \lambda e^{\lambda t} v_{n+1} = \lambda \sum_{k=0}^{n-1} \frac{(\lambda t)^k}{k!} v_{n-k}(0) \quad (22)$$

$$\frac{d}{dt} e^{\lambda t} v_{n+1} = \sum_{k=0}^{n-1} \frac{\lambda^{k+1}}{k!} t^k v_{n-k}(0) \quad (23)$$

Integrate both sides of eq. (23) and use initial condition $v_{n+1}(0)$ to obtain:

$$e^{\lambda t} v_{n+1}(t) = \sum_{k=0}^{n-1} \frac{(\lambda t)^{k+1}}{(k+1)!} v_{n-k}(0) + v_{n+1}(0) \quad (24)$$

Let $j=k+1$

$$e^{\lambda t} v_{n+1}(t) = \sum_{j=1}^n \frac{(\lambda t)^j}{(j)!} v_{n-(j-1)}(0) + v_{n+1}(0) \quad (25)$$

The right hand side of eq. (25) is equal to:

$$\sum_{j=0}^n \frac{(\lambda t)^j}{j!} v_{n+1-j}(0)$$

Change the index of summation by k and multiply both sides of eq. (25) by $e^{\lambda t}$ to obtain:

$$v_{n+1}(t) = e^{-\lambda t} \sum_{k=0}^{n+1} \frac{(\lambda t)^k}{k!} v_{n+1-k}(0) \quad (26)$$

This completes the proof of induction.

IV. LAPLACE TRANSFORM

Recall that eq. (13) is a differential equation for velocity of the n^{th} car in the platoon. A full discussion of Laplace transform is given in

Ghandehari and Ardekani [2]. Take the Laplace transform of both sides of eq. (13) to obtain:

$$s \overline{v_n} - v_n(0) = -\lambda \overline{v_n} + \lambda \overline{v_{n-1}} \quad (27)$$

$$\overline{v_n} = \frac{\lambda}{s + \lambda} \overline{v_{n-1}} + \frac{1}{s + \lambda} v_n(0) \quad (28)$$

Apply eq. (28) recursively to obtain:

$$\overline{v_n} = \left(\frac{\lambda}{s + \lambda} \right)^n \overline{v_0} + \sum_{k=1}^{n-1} \left(\frac{\lambda}{s + \lambda} \right)^{n-k} v_k(0) \quad (29)$$

The shifting property of Laplace transform is given by:

$$L(e^{-\lambda t} f(t)) = F(s + \lambda) \quad (30)$$

In the above $F(s) = L(f(t))$. Also recall that:

$$L(t^k) = \frac{k!}{s^{k+1}} \quad (31)$$

Use properties in eqs. (30) and (31) to take inverse of eq. (29). Also use summation notation to obtain:

$$v_n(t) = e^{-\lambda t} \sum_{k=0}^{n-1} \frac{(\lambda t)^k}{k!} v_{n-k}(0) \quad (32)$$

This is the same as eq. (18). Use the assumption that the leading vehicle is moving with constant speed v_0 to obtain:

$$v_n(t) = v_0 + e^{-\lambda t} \sum_{k=0}^{n-1} \frac{(\lambda t)^k}{k!} (v_{n-k}(0) - v_0) \quad (33)$$

Finally, using the notation for convolution yields:

$$v_n(t) = v_0 + e^{-\lambda t} \left[\frac{(\lambda t)^k}{k!} * (v_{n-k}(0) - v_0) \right] \quad (34)$$

V. INTRODUCING TIME DELAY

Assume T is the time delay representing the driver's reaction time to adjust speed. The mean value theorem implies that,

$$v_n(t + T) = v_n(t) + T v_n'(t) \quad (35)$$

Use eqs. (1) and (35) and simplify to obtain the second order differential eq. (36), namely,

$$T \frac{d^2(v_n(t))}{dt^2} + \frac{dv_n}{dt} + \lambda v_n(t) = \lambda v_{n-1}(t) \quad (36)$$

Consider the differential operator $T D^2 + D + \lambda$. Apply the differential operator on eq. (36) recursively to obtain:

$$(TD^2 + D + \lambda)^n v_n(t) = \lambda v_0(t) \quad (37)$$

Let r_1 and r_2 denote roots of the characteristic eq. (38), namely,

$$(Tr^2 + r + \lambda) = 0 \quad (38)$$

That is,

$$r = \frac{-1 \pm \sqrt{1 - 4\lambda T}}{2T} \quad (39)$$

Assume the leading vehicle has constant speed v_0 . The general solution of eq. (37) is then obtained by adding the homogenous and a particular solution v_0 to yield:

$$v_n(t) = v_0 + e^{r_1 t} \sum_{k=0}^{n-1} a_k t^k + e^{r_2 t} \sum_{k=0}^{n-1} b_k t^k \quad (40)$$

In order to solve for constants in eq. (40), we need two sets of initial conditions (initial velocities as well as initial accelerations). If we only have initial velocities we have a perturbation problem with reaction time T as a parameter. It will be interesting to see if the perturbation problem is regular or singular. In order to have real solution for eq. (40) we have the condition:

$$1 - 4\lambda T \geq 0.$$

That is

$$T \leq (1/4\lambda) \quad (41)$$

If the direction of inequality is reserved then we have oscillatory solutions. With the assumption that v_0 is constant, the limit $v_n(t)$ as $t \rightarrow \infty$ is equal to v_0 . This corresponds to practice: If the leading vehicle has constant speed, eventually all other vehicles reach the same constant speed.

We can also take the speed as $n \rightarrow \infty$. This will show that the perturbation in a leading vehicle

will not have an effect on the vehicles too far in the back of the moving queue.

VI. CONCLUSIONS

Methods from operational calculus have been used to analyze the linear car-following model. Transform methods as well as differential operators are used, to get the same results for the linear car-following model. Asymptotic analysis is performed to analyze the long-run behavior as well as the behavior for a very long string of vehicles.

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